

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LESLIE MARK ERNEST ET AL.

FOR: METHOD FOR IMPROVING INFORMATION
TECHNOLOGY INFRASTRUCTURE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

The Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose, applicants submit herewith a copy of each publication of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.



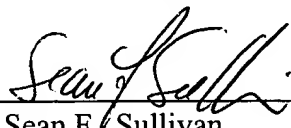
Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 09-0458.

Respectfully submitted,

LESLIE MARK ERNEST ET AL.

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Applicants' Attorneys

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EXPRESS MAIL NO.: EF383030346US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILE DATE.

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INFORMATION DISCLOSURE CITATION

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11046 U.S. PTO
09/887282

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,241,645	8/31/1993	Cimral et al.			
	5,842,193	11/24/1998	Reilly			
	5,903,478	5/11/1999	Fintel et al.			
	6,091,893	7/18/2000	Fintel et al.			
	6,115,691	*9/5/2000	Ulwick			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	PCT/AU/9700104	2/21/1997	Australia	6			✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Michael M. Mann, Ph.D., CMC, "Analysis, Synthesis, and Management of Organizational Networks and Systems," 1998, pages 185 - 191
		D. Vogel, R. Orwig, D. Dean, J. Lee, and C. Arthur, "Reengineering with Enterprise Analyzer," 1993, pages 127 - 136.

EXAMINER

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Amjad Umar and Paolo Missier, "A Framework For Analyzing Virtual Enterprise Infrastructure,"
			Amjad Umar, Deborah Elsinger, and Ming-Yee Lai, "Next Generation Enterprises: A Framework for Analysis," 2000, pages 101 - 106.

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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			Richard C. Dorf, "Designing the Virtual Enterprise," 1996, pages 139 - 141.
			M. Hawa, A. Ortiz, L. Ros, and F. Lario, "Toward Continuous Enterprise Improvement: Analysis and Supporting Mechanisms in the GEMM (Generic Methodology Model) Proposal," 1999, pages 271 - 279.

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

			"Managing Information Technology In a New Age," IBM Global Services, 2000, pages 1 - 18.

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